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## **GROUP ART UNIT 1614**

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Please see attached.

Docket No .:

7678.791

Serial No.:

10/646,484

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re0000003957V001

Filed Via Facsimile	=	PATENT APPLICATION Docket No.: 7678.791		
IN	THE UNITED STATES PATENT AND TRADEMARK O	FFICE		
In re application of		RECEIVED CENTRAL FAX CENTER		
	Peter M. Allred and Neil T. Jessop	MAY 1 1 2005		
Serial No.	10/646,484	) )Art Unit ) 3749		
Filed:	August 22, 2003			
For:	COMPOSITIONS AND DEVICES HAVING A TRAY- LIKE CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING SUCH COMPOSITIONS AND DEVICES	) ) ) )		
Examiner:	Not yet known	·		
Customer No.:	022913	)		
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Commissioner for Pa P.O. Box 1450 Alexandria, VA 223	•			
_	fy that this paper is being transmitted via facsimile to the Pate 72-9306 (Formal Fax No.) on the date shown below.	nt and Trademark Office		
<ul><li>Suppl</li><li>Form</li></ul>	mittal Letter (2 pages) lemental Information Disclosure Statement (2 pgs.) PTO-1449 Listing Thirty Five (35) References (3 pgs.) of two (2) cited references			
Dated this	day of May 2005.			
	Respectfully submitted,  RICK D. NYDEGGER  Registration No. 28,651  Attorney for Applicant			

RDN:re re0000003960V001 Customer No. 022913

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MAY 1 1 2005

PATENT APPLICATION
Docket No.: 7678.791

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)
	Peter M. Allred and Neil T. Jessop	)
Serial No.	10/646,484	) Art Uni
Filed:	August 22, 2003	) 3749
For:	COMPOSITIONS AND DEVICES HAVING A TRAY- LIKE CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING SUCH COMPOSITIONS AND DEVICES	)
Examiner:	Not yet known	<b>)</b>
Customer No.:	022913	)

## TRANSMITTAL FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is a Supplemental Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

X Form PTO-1449 list of Thirty Five (35) references submitted for consideration.

<sup>\*</sup> Admired only in California

Adminted only in Virginia

Letter to Commissioner of Patents Page Two

#### X Copies of two (2) cited foreign references

Because this Information Disclosure Statement is being filed prior to the mailing of the first substantive office action, no fee is required.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 1 day of May 2005.

Respectfully submitted,

Rick D. Nydegger Attorney for Applicant Registration No. 28,651 Customer No. 022913

801-533-9800

RDN:re rc0000003958V001

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MAY 1 1 2005

PATENT APPLICATION
Docket No.: 7678.791

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Pctcr M. Allred and Neil T. Jessop  Scrial No. 10/646,484 August 22, 2003  Filed: August 22, 2003  For: COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING SUCH COMPOSITIONS AND DEVICES  Examiner: Not yet known  Customer No.: 022913	In reapplication of		)
Filed:  August 22, 2003  For:  COMPOSITIONS AND DEVICES HAVING A TRAY- LIKE CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING SUCH COMPOSITIONS AND DEVICES  Examiner:  Not yet known  3749  3749  3749  3749  3749  3749  3749  3749  3749  3749  3749  3749  3749  3749  3749		Peter M. Allred and Neil T. Jessop	)
Filed:  August 22, 2003  For:  COMPOSITIONS AND DEVICES HAVING A TRAY- LIKE CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING SUCH COMPOSITIONS AND DEVICES  Examiner:  Not yet known	Scrial No.	10/646,484	)Art Unit
LIKE CONFIGURATION FOR DELIVERING AN ) ORAL MEDICAMENT AND METHODS OF ) MANUFACTURING SUCH COMPOSITIONS ) AND DEVICES ) Examiner: Not yet known )	Filed:	August 22, 2003	) 3749
)	For:	LIKE CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING SUCH COMPOSITIONS	) ) ) )
Customer No.: 022913 )	Examiner:	Not yet known	)
	Customer No.:	022913	)

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion of the foreign references are also enclosed. Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this \_\_\_\_ day of MAY, 2005.

Respectfully submitted,

RICK D. NYDEGGER Attorney for Applicant Registration No. 28,651 Customer No. 022913

RDN:rc rc0000003959V001 Form PTO-1449

Sheet 1 of 3

Applicant:

Peter M. Allred et al.

Scrial No.: Filing Date:

For:

10/646.484

August 22, 2003

Att'y Docket No.: 7678.791

Group: 3749

Compositions and devices having a tray-like configuration for delivering an oral

medicament and methods of manufacturing and using such compositions and devices

#### INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

#### U.S. Patent Documents

Examiner <u>Initial*</u>	Patent <u>Number</u>	Issue <u>Date</u>	<u>Name</u>	Class	Sub <u>Class</u>	Filing <u>Date</u>
A1.	165,584	07/13/1875	Hopfen	<del></del>		06/10/1875
A2.	1,637,153	07/26/27	Lawton		<b></b>	10/23/26
A3.	2,257,709	09/30/41	Anderson	128	260	10/14/38
A4.	3,339,547	09/05/67	Drabkowski	128	260	11/27/64
A5.	3,527,219	09/08/70	Greenberg	128	260	08/21/67
A6.	3,577,640	05/04/71	Lee	32	32	10/08/69
A7.	3,624,909	12/07/71	Greenberg	32	40	05/26/70
A8.	3,955,281	05/11/76	Weitzman	32	14 B	12/05/74
A9.	4,044,762	08/30/77	Jacobs	128	136	08/23/76
A10.	4,063,552	12/20/77	Going et al.	128	136	04/02/76
A11.	4,064,628	12/27/77	Weitzman	32	14 B	03/08/76
Λ12.	4,138,814	02/13/79	Weitzman ·	32	14 B	12/22/77
A13.	4,902,227	02/20/90	Smith	433	215	05/04/88
A14.	5,051,476	09/24/91	Uji et al.	525	186	03/23/90
A15.	5,085,585	02/04/92	Zimble	433	80	02/05/91
A16.	5,211,559	05/18/93	Hart et al.	433	80	06/02/92
A17, ·	5,356,291	10/18/94	Darnell	433	216	01/26/93
Examiner:	**************************************	<del></del>	Date Consid	lered:		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Sheet 2 of 3

Peter M. Allred et al. Applicant: Atty Docket No.: 7678.791 Serial No.: 10/646,484 Filing Date: August 22, 2003 Group: 3749 For: Compositions and devices having a tray-like configuration for delivering an oral medicament and methods of manufacturing and using such compositions and devices \_\_\_\_ A18. 5,376,006 12/27/94 Fischer 433 215 09/24/92 \_\_\_\_ A19. 5,573,399 11/12/96 McClintock, II 433 80 10/24/94 \_\_\_\_ A20. 5,631,000 05/20/97 Pellico 424 53 03/11/96 -Λ21. 5,702,251 12/30/97 McClintock, II 433 80 05/31/96 A22. 5,752,826 05/16/96 Andreiko 433 41 05/19/98 Λ23. 5,851,512 12/22/98 Fischer 424 49 05/30/97 Λ24. 5,895,218 04/20/99 Quinn et al. 433 80 08/10/98 Λ25. 5,922,307 07/13/99 Montgomery 53 09/25/96 424 \_\_\_\_\_ A26. 11/16/99 Fischer 49 5,985,249 424 10/14/97 \_\_\_\_\_ A27. 6,089,869 07/18/00 Schwartz 433 215 03/15/99 \_\_\_\_\_ A28. 6,309,625 10/30/01 Jensen et al, 424 49 11/12/98 \_\_\_\_ A29. 11/06/01 53 6,312,671 Jensen et al. 424 12/20/99 \_\_\_\_ A30. 6,331,292 12/18/2001 Montgomery 424 53 11/16/98 \_\_\_\_ A31. 04/02/02 6,364,665 Trettemerp 215 04/04/00 433 \_\_\_\_ A32. 04/30/02 6,379,147 Georgakis et al. 433 37 06/26/00 A33. 6,488,914 12/3/2002 Montgomery 424 53 10/31/01 **Foreign Patent Documents** Examiner Document No. **Publication Date** Country or Pat Office A34. WO 03/000216 3 Jan 2003 WIPO A35. WO 88/06869 22 September 1988 WIPO Examiner: Date Considered:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant: Peter M. Allred et al.

Serial No.: Filing Date:

10/646,484

Att'y Docket No.: 7678,791

Sheet 3 of 3

For:

August 22, 2003 Group: 3749
Compositions and devices having a tray-like configuration for delivering an oral

medicament and methods of manufacturing and using such compositions and devices

#### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:	Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.